

23026 U.S. PTO  
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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | 41577-296806   |
| Inventors              | A. Brown, G.D. Griffiths, D.S.W. Hooi, P. Pearce, D.I. Pritchard, P. Rice and L. Scott |
| Title                  | HUMAN HOOKWORM MODEL AND METHOD FOR MAINTAINING HUMAN HOOKWORM IN A NON-HUMAN PRIMATE  |
| Express Mail Label No. | EV 041614105 US  |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the Invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
Mailstop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment:
  - a. ☐ Assignment Papers (cover sheet & document(s))
  - b. ☐ Assignment is of record in parent application No.
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other \_\_\_\_\_

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/

Prior application information: Examiner \_\_\_\_ Group / Art Unit: \_\_\_\_

Recite complete dependency back to first parent application: This application claims priority to GB0303691.0.

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number

23370

|                   |                             |                                   |                   |
|-------------------|-----------------------------|-----------------------------------|-------------------|
| Name (Print/Type) | Elena S. Polovnikova, Ph.D. | Registration No. (Attorney/Agent) | 52,130            |
| Signature         | <i>Elena S. Polovnikova</i> | Date                              | February 17, 2004 |

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U.S. P.O.

**TRANSMITTAL**

Attorney Docket No. **41577-296806**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **A. Brown, G.D. Griffiths, D.S.W. Hooi, P. Pearce, D.I. Pritchard, P. Rice and L. Scott**  
 Filing Date: **February 17, 2004**  
 Title: **HUMAN HOOKWORM MODEL AND METHOD FOR MAINTAINING HUMAN HOOKWORM IN A NON-HUMAN PRIMATE**

The filing fee is calculated as shown below:

**1. FILING FEE:**

|  |  | SMALL ENTITY |          | LARGE ENTITY |          |
|--|--|--------------|----------|--------------|----------|
| FOR:   |  | FEE          | FEE PAID | FEE          | FEE PAID |
| <input checked="" type="checkbox"/> UTILITY FILING FEE |  | \$385        |          | \$770        | 770      |
| <input type="checkbox"/> DESIGN FILING FEE             |  | \$170        |          | \$340        |          |
| <input type="checkbox"/> PLANT FILING FEE              |  | \$265        |          | \$530        |          |
| <input type="checkbox"/> REISSUE FILING FEE            |  | \$385        |          | \$770        |          |
| <input type="checkbox"/> PROVISIONAL FILING FEE        |  | \$80         |          | \$160        |          |
| SUBTOTAL (1)   |  |              | \$       |              | \$770    |

**2. CLAIMS:**

|   |           |           |        | SMALL ENTITY |    | LARGE ENTITY |       |
|---|-----------|-----------|--------|--------------|----|--------------|-------|
| FOR:  | NO. FILED | NO. EXTRA | RATE   | FEE          |    | RATE         | FEE   |
| TOTAL CLAIMS  | 16 - 20 = | 0         | x 9 =  |              |    | x 18 =       |       |
| INDEP. CLAIMS   | 4 - 3 =   | 1         | x 43 = |              |    | x 86 =       | 86    |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED |           |           | +145 = |              |    | +290 =       |       |
| SUBTOTAL (2)  |           |           |        |              | \$ |              | \$856 |

**3. ADDITIONAL FEES:**

|  |  | SMALL ENTITY |          | LARGE ENTITY |          |
|--|--|--------------|----------|--------------|----------|
| FOR:   |  | FEE          | FEE PAID | FEE          | FEE PAID |
| <input type="checkbox"/> LATE FILING, FEE OR OATH  |  | \$65         |          | \$130        |          |
| <input type="checkbox"/> NON-ENGLISH SPECIFICATION |  | \$130        |          | \$130        |          |
| <input type="checkbox"/> OTHER                     |  |              |          |              |          |
| SUBTOTAL (3)                                       |  |              | \$       |              | \$856    |

**TOTAL FILING FEES: \$856**

A check is enclosed for the total amount: **\$856**

☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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Date: February 17, 2004